

## NPDB / Nursys Action Codes

NPDB/Nursys Code	Action
1110	Revocation of License
1125	Probation of License
1135	Suspension of License
1138	Summary or Emergency Limitation or Restriction on License
1139	Summary or Emergency Suspension of License
1140	Reprimand or Censure
1145	Voluntary Surrender of License
1146	Voluntary Limitation or Restriction on License
1147	Limitation or Restriction on License
1148	Denial of License Renewal
1149	Denial of Initial License
1150	Interim Action – Voluntary Agreement to Refrain from Practice or to Suspend License Pending Completion of an Investigation
1151	Cease and Desist
1155	Failure to Apply for Renewal or Withdrawal of Renewal Application While Under Investigation
1173	Publicly Available Fine/Monetary Penalty
1179	Limitation or Restriction on Ability to Prescribe, Dispense, or Administer Medication or Sedation, Specify _____
1189	Publicly Available Negative Action or Finding, Specify _____
1199	Other Licensure Action – Not Classified, Specify _____

NPDB/Nursys Code	Revision to Action
1110	Revocation of License
1125	Probation of License
1135	Suspension of License
1138	Summary or Emergency Limitation or Restriction on License
1139	Summary or Emergency Suspension of License
1140	Reprimand or Censure
1145	Voluntary Surrender of License
1146	Voluntary Limitation or Restriction on License
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1155	Failure to Apply for Renewal or Withdrawal of Renewal Application While Under Investigation
1173	Publicly Available Fine/Monetary Penalty
1179	Limitation or Restriction on Ability to Prescribe, Dispense, or Administer Medication or Sedation, Specify _____
1189	Publicly Available Negative Action or Finding, Specify _____
1199	Other Licensure Action – Not Classified, Specify _____
1280	License Restored or Reinstated, Complete
1282	License Restored or Reinstated, Conditional
1283	License Restored or Reinstated, Partial
1285	License Restoration or Reinstatement Denied
1295	Reduction of Previous Licensure Action
1296	Extension of Previous Licensure Action
1297	Modification of Previous Licensure Action

**For Use by Boards Participating in the Nurse Licensure Compact (NLC)**

NPDB/Nursys Code	Privilege Action
1310	Revocation of Multi-State Licensure Privilege
1325	Probation of Multi-State Licensure Privilege
1335	Suspension of Multi-State Licensure Privilege
1338	Summary or Emergency Limitation or Restriction of Multi-State Licensure Privilege
1339	Summary or Emergency Suspension of Multi-State Licensure Privilege
1340	Reprimand or Censure of Multi-State Licensure Privilege
1345	Voluntary Surrender of Multi-State Licensure Privilege
1346	Voluntary Limitation or Restriction on Practice Authorized by Multi-State Licensure Privilege
1347	Limitation or Restriction on Multi-State Licensure Privilege
1351	Cease and Desist – Multi-State Licensing Privilege
1373	Publicly Available Fine/Monetary Penalty Concerning Practicing under Multi-State Licensure Privilege
1389	Publicly Available Negative Action or Finding Concerning Practicing under Multi-State Licensure Privilege, Specify _____
1399	Other Action Against Practitioner Practicing Under Multi-State Licensure Privilege – Not Classified, Specify _____

NPDB/Nursys Code	Privilege Revision to Action
1310	Revocation of Multi-State Licensure Privilege
1325	Probation of Multi-State Licensure Privilege
1335	Suspension of Multi-State Licensure Privilege
1338	Summary or Emergency Limitation or Restriction of Multi-State Licensure Privilege
1339	Summary or Emergency Suspension of Multi-State Licensure Privilege
1340	Reprimand or Censure of Multi-State Licensure Privilege
1345	Voluntary Surrender of Multi-State Licensure Privilege
1346	Voluntary Limitation or Restriction on Practice Authorized by Multi-State Licensure Privilege
1347	Limitation or Restriction on Multi-State Licensure Privilege
1351	Cease and Desist - Multi-State Licensing Privilege
1373	Publicly Available Fine/Monetary Penalty Concerning Practicing under Multi-State Licensure Privilege
1389	Publicly Available Negative Action or Finding Concerning Practicing under Multi-State Licensure Privilege, Specify _____
1399	Other Action Against Practitioner Practicing Under Multi-State Licensure Privilege – Not Classified, Specify _____
1480	Multi-State Licensure Privilege Restored or Reinstated, Complete
1482	Multi-State Licensure Privilege Restored or Reinstated, Conditional
1483	Multi-State Licensure Privilege Restored or Reinstated, Partial
1485	Multi-State Licensure Privilege Restoration or Reinstatement Denied
1495	Reduction of Previous Multi-State Licensure Privilege Action
1496	Extension of Previous Multi-State Licensure Privilege Action
1497	Modification of Previous Multi-State Licensure Privilege Action

## NPDB / Nursys Basis For Action Codes (Violations)

<b>Non-Compliance With Requirements for Federal Licensure, State Licensure or Certification Actions</b>	
A1	Failure to Meet Licensure Requirements / Licensing Board Reporting Requirements
A2	Failure to Comply with Continuing Education or Competency Requirements
A4	Practicing without a Valid License
A5	Violation of or Failure to Comply with Licensing Board Order
A6	Violation of Federal or State Statutes, Regulation or Rules
23	Failure to Cooperate with Board Investigation
24	Practicing with an Expired License
29	Practicing Beyond the Scope of Practice
31	Failure to Comply with Health and Safety Requirements or State Health Code
35	Drug Screening Violation
36	Violation of Federal or State Tax Code
37	Failure to Pay or Delinquent with Child Support
39	Disciplinary Action Taken by Another Federal, State or Local Licensing Authority
44	Default on Health Education Loan or Scholarship Obligations
50	Failure to Maintain or Provide Adequate or Accurate Medical Records, Financial Records or Other Required Information

<b>Criminal Conviction or Adjudication</b>	
B1	Nolo Contendere Plea
I1	Diverted Conviction
18	Deferred Adjudication
19	Criminal Conviction

<b>Confidentiality, Consent or Disclosure Violations</b>	
C1	Failure to Obtain Informed Consent
C2	Failure to Comply with Patient Consultation Requirements
C3	Breach of Confidentiality

<b>Misconduct or Abuse</b>	
D1	Sexual Misconduct
D2	Non-Sexual Boundary Violation
D3	Exploiting a Patient for Financial Gain
D4	Abusive Conduct Toward Staff
D5	Disruptive Conduct
D7	Conduct Evidencing Ethical or Moral Unfitness
14	Patient Abuse
16	Misappropriation of Patient Property or Other Property
71	Conflict of Interest

<b>Fraud, Deception, or Misrepresentation</b>	
E1	Insurance Fraud (Medicare, Medicaid or Other Insurance)
E2	Providing or Ordering Unnecessary Tests or Services
E3	Filing False Reports or Falsifying Records
E4	Fraud, Deceit or Material Omission in Obtaining License or Credentials
E5	Misleading, False or Deceptive Advertising or Marketing
E6	Failure to Disclose
55	Improper Billing Practices
56	Submitting False Claims

81	Misrepresentation of Credentials
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<b>Unsafe Practice or Substandard Care</b>	
FA	Inappropriate Refusal to Treat
FE	Incorrect Site Procedure
FF	Foreign Body Retained Inappropriately in a Patient
FG	Wrong Patient
F1	Immediate Threat to Public
F2	Unable to Practice Safely by Reason of Substance Use
F3	Unable to Practice Safely by Reason of Psychological Impairment
F4	Unable to Practice Safely by Reason of Physical Impairment
F6	Substandard Care or Inadequate Skill Level
F8	Failure to Consult or Delay in Seeking Consultation with Supervisor / Proctor
F9	Patient Abandonment
13	Negligence
17	Inadequate or Improper Infection Control Practices

<b>Improper Supervision or Allowing Unlicensed Practice</b>	
G1	Improper or Inadequate Supervision or Delegation
G2	Allowing or Aiding Unlicensed Practice

<b>Improper Prescribing, Dispensing, Administering Medication / Drug Violation</b>	
H1	Drug Violation or Other Violation or Drug Statutes
H2	Inappropriate or Unauthorized Prescribing of Medication
H3	Inappropriate or Unauthorized Dispensing of Medication
H4	Inappropriate or Unauthorized Administration of Medication or Sedation
H5	Error in Prescribing, Dispensing or Administering Medication or Sedation
H6	Inappropriate Acquisition or Diversion of Controlled Substance

<b>Other</b>	
99	Other – Not Classified, Specify _____

### NPDB / Nursys Basis For Action Codes (Violations) - Retired

<b>Basis for Action Codes - Retired</b>	
AD	Surrendered Clinical Privileges
A3	Failure to Meet Licensing Board Reporting Requirements
D6	Conduct Evidencing Moral Unfitness
D8	Other Unprofessional Conduct, Specify _____
F5	Unable to Practice Safely
F7	Substandard or Inadequate Skill Level
01	Alcohol and / or Other Substance Abuse
03	Narcotics Violations
05	Fraud - Unspecified
06	Insurance Fraud – Medicare or Other Federal Government Program
07	Insurance Fraud – Medicaid or Other State Government Program
08	Insurance Fraud – Non-Government or Private Insurance
09	Fraud in Obtaining License or Credentials
10	Unprofessional Conduct
11	Incompetence
12	Malpractice
15	Patient Neglect
20	Mental Disorder
22	Advertising or Marketing Services or Products That Are Discriminatory, Misleading, False or Deceptive
25	Practicing Without a License
30	Allowing Unlicensed Person to Practice
45	Failure to Maintain Records or Provide Medical, Financial or Other Required Information
52	Failure to Repay Overpayment
53	Failure to Provide Medically Reasonable and / or Necessary Items or Services
74	Violation of Federal or State Antitrust Statute
75	Violation of Drug-Free Workplace Act
76	Violation of Immigration and Nationality Act Employment Provisions
77	Violation of Americans With Disabilities Act or Applicable Federal and State Laws
78	Violation of Civil Rights Act or Applicable Federal and State Laws
80	Physical Impairment
83	Hospital Privileges Restricted, Suspended or Revoked
84	Violation of State Health Code
91	Noncompliance With Private Accreditation Standards

The retired Basis for Action Codes (Violations) will no longer be accepted by NPDB and therefore have been phased out of the Discipline Creation or Editing process. In the event that a legacy Discipline case needs to be reported to NPDB containing one of the retired Basis for Action Codes (Violations), it must be removed from the cases before submitting.